

Substitute for form 1449A/PTO (modified)

Application Number

10/726222

Filing Date

December 2, 2003

First Named Inventor

Henson, Gordon D.

Art Unit

2875

Examiner Name

Alavi, Ali

Attorney Case Number

58130US004

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
AA	A1	US- 2002/0126479 A1	09-12-2002	Zhai et al	
	A2	US- 2003/0052594	3/20/03	Matsui et al.	
	A3	US- 2003/0091277 A1	05-15-2003	Mei	
↓	A4	US- 4,544,259	10-01-1985	Kanaoka et al	
DA	A5	US- 6,901,090 B1	05-31-2005	Ohtsuki	
	A6	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation... (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
AA	B1	DE	10025563 A1	12/06/01			
	B2	EP	0 181 193 A	05-14-1986			
	B3	EP	1 241 869 A	09-18-2002			
	B4	EP	0338641 A1	10/25/89			
	B5	EP	0588040 A2	3/23/94			
	B6	EP	0889495 A1	1/07/99			
	B7	FR	FR 2662896	12/06/91			X
	B8	JP	Patent Abstract of Japan 08008463	1/12/96			English Abstract
	B9	JP	Patent Abstract of Japan 10256694	9/25/98			English Abstract
	B10	JP	Patent Abstract of Japan 02189803	7/25/90			English Abstract
	B11	JP	Patent Abstract of Japan 11284233	10/15/99			English Abstract
	B12	WO	WO 99/41785	8/19/99			English Abstract
	B13	WO	01/20398 A	03-22-2001			Believed to be equivalent to US 6,901,090
↓	B14	WO	WO 03/023857 A2	3/20/03			English Abstract

*Examiner: *Ali Alavi*Date Considered: *6/21/06*

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		Ctry. Code	Number-KindCode (if known)				
AA	B15	WO	WO 2004/004017 A2	1/08/04			English Abstract
AA	B16	WO	WO 2005/062382 A2	7/07/05			

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Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
AA	C1	"Solid-State Laser/Fiber Optic Expose Machine," IBM Technical Disclosure Bulletin, IBM Corp. vol. 30, no. 10, New York, 03-01-1988, 249-250	
	C2		

*Examiner: Ali AlaviDate Considered: 6/21/06

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Art Unit 2828

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✓	A1	US- 5,146,248	9/08/92	DuWaer et al.	
	A2	US- 5,293,437	03/08/1994	Nixon	
	A3	US- 6,406,172	6/18/02	Harbers et al.	
	A4	US- 6,340,824	01/22/02	Komoto et al.	
	A5	US- 2003/0042493	03/06/03	Kazakevich	
✓	A6	US- 2004/0262053	12/30/04	Ludewig et al.	
	A7	US-			
	A8	US-			

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✓	B1	EP	1 081 771	3/07/01			
	B2	EP	EP 0 249 934 A	12/23/87			
↓	B3	EP	EP 0490 292 A	6/17/92			
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	B6						
	B7						

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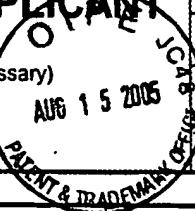
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✓	A1	US- 5,567,032	10/22/1996	Heizmann	
	A2	US- 5,713,654	02/03/1998	Scifres	
	A3	US- 6,045,240	04/04/2000	Hochstein	
	A4	US- 6,402,347	6/11/02	Maas et al.	
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↓	A6	US- 6,821,143 B2 (Equiv. of FR2840151)	11/23/2004	Gasquet et al.	
✓	A7	US- 2004/0008952	1/15/04	Kragl	
	A8	US-			

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✓	B1	DE	199 23 187 A1	11/23/2000			
	B2	DE	201 20 770 U1	05/02/2002			Abstract only
	B3	DE	101 10 835 A1	09/19/2002			Abstract only
	B4	DE	101 34 381 A1	01/23/2003			Abstract only
	B5	DE	101 62 404 A1	07/03/2003			Abstract only
	B6	EP	1 067 332 A2	01/10/2001			Abstract only
	B7	WO	01/59360 A1 (Equiv. of DE10005795)	08/16/2001			Abstract only
↓	B8	WO	02/054129	07/11/02			
✓	B9	WO	02/086972 A1	10/31/2002			
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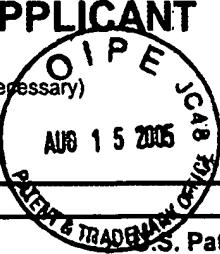
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✓	A1	US- 6,556,734	4/29/2003	Bischel et al.	
	A2	US- 6,692,250	2/17/04	Decaudin et al.	
	A3	US- 5,301,090	4/05/1994	Hed	
	A4	US- 6/075,595	6/13/2000	Malinen	
	A5	US- 2003/0117691	6/26/03	Bi et al.	
↓	A6	US- 2003/0235800	12/25/03	Qadar	
✓	A7	US- 2004/0106968	6/03/04	Yamada	

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PATENT & TRADEMARK OFFICE

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AA	A1	US- 6,874,910	4/05/05	Sugimoto et al.	
↓	A2	US- 2003/0052594	3/20/03	Matsui et al.	
DM	A3	US- 2003/0189829	10/09/03	Shimizu et al.	
	A4	US-			
	A5	US-			
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	A7	US-			

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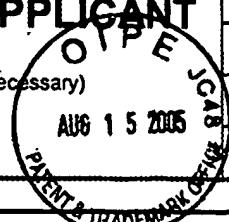
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	A4	US-			
	A5	US-			
	A6	US-			
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AA	C1	Žukauskas et al, <u>Introduction to Solid-State Lighting</u> , John Wiley & Sons, Inc., New York, 2002, pp. 166-167.	
	C2		
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DA	A1	US- 5,140,248	09-08-1992	Duwaer	
	A2	US- 5,420,768	05-30-1995	Kennedy	
	A3	US- 5,634,711	06-03-1997	Kennedy et al.	
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DA	A6	US- 2004/0166249 A1	08-26-2004	Siegel	
	A7	US-			

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		Ctry. Code	Number-KindCode (if known)				
DA	B1	EP	1 241 510	9/18/02			
DA	B2	WO	WO 2002/054129 A1 English Abstract	07/11/02			X
DA	B3	WO	WO 03/096387 A2	11-20-2003			
DA	B4	WO	WO 2004/081475 A2	09-23-2004			
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	B6						
	B7						

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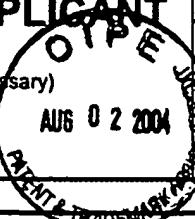
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AA	A1	US- US6290382	9/18/01	Bourn et al.	
↓	A2	US- US6236382	5/22/01	Kawakami et al.	
AA	A3	US- US6395564	5/28/02	Huang	
	A4	US-			
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	A6	US-			
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	A10	US-			
	A11	US-			

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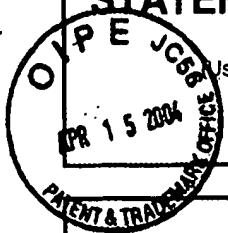
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QX	A1	US- 5,227,008	07/13/1993	Klun et al.	
	A2	US- 5,299,222	03/29/1994	Shannon et al.	
	A3	US- 5,574,817	11/12/1996	Henson et al.	
	A4	US- 5,611,017	03/11/1997	Lee et al.	
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QX	A21	US- 6,692,250	02/17/04	Decaudin et al.	

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		Ctry. Code	Number-KindCode (if known)				
QX	B1	DE	201 11 814 U1	12/20/2001			Abstract
↓	B2	EP	1 260 196 A2	11/27/2002			Abstract
QX	B3	JP	07240536 A	09/12/1995			Abstract

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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✓	C1	Hsu, J.T. et al., "Design of multi-chips LED module for lighting application", <i>Solid State Lighting II, Proceedings of SPIE</i> (2002), Vol. 4776, pp. 26-33.
✓	C2	Serial No. 10/317,734 filed 12/02/2002 as our Docket No. 57755US002 having Title - Optical fiber or Waveguide Lens
✓	C3	Serial No. 60/430,230 filed 12/02/2002 as our Docket No. 58130US002 having Title - Illumination System Using a Plurality of Remote Light Sources
✓	C4	Serial No. 60/443,232 filed 01/27/2003 as our Docket No. 58390US002 having Title - Methods of Making LED-excited Phosphor-based Light Sources
✓	C5	Serial No. 60/443,235 filed 01/27/2003 as our Docket No. 58388US002 having Title - LED-excited Phosphor-based Light Sources with Front Illumination
✓	C6	Serial No. 60/443,274 filed 01/27/2003 as our Docket No. 58389US002 having Title - LED-excited Phosphor-based Light Sources
✓	C7	Serial No. 10/670,630 filed 09/25/2003 as our Docket No. 58385US002 having Title - Lensed Optical Fiber and Method for Making the Same
✓	C8	Serial No. 10/726,997 filed 12/02/2003 as our Docket No. 58389US004 having Title - Phosphor Based Light Sources Having a Polymeric Long Pass Reflector
✓	C9	Serial No. 10/726,244 filed 12/02/2003 as our Docket No. 59121US002 having Title - Reflective Light Coupler
✓	C10	Serial No. 10/727,220 filed 12/02/2003 as our Docket No. 59333US002 having Title - Illumination Assembly
✓	C11	Serial No. 10/726,225 filed 12/02/2003 as our Docket No. 59349US002 having Title - Solid State Light Device
✓	C12	Serial No. 10/726,248 filed 12/02/2003 as our Docket No. 59376US002 having Title - Multiple LED Source and Method for Assembling Same
✓	C13	Serial No. 10/727,072 filed 12/02/2003 as our Docket No. 59416US002 having Title - Phosphor Based Light Sources Having a Non-Planar Long Pass Reflector
✓	C14	Serial No. 10/726,257 filed 12/02/2003 as our Docket No. 59432US002 having Title - LED Curing Apparatus and Method
✓	C15	Serial No. 10/727,023 filed 12/02/2003 as our Docket No. 58390US004 having Title - Methods of Making Phosphor Based Light Sources Having an Interference Reflector

*Examiner: Ali AlanDate Considered: 6/21/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.